

APPENDIX 1

TERMS OF REFERENCE FOR THE RESETTLEMENT POLICY FRAMEWORK AND INCOME RESTORATION PLAN

Terms of Reference
For the
Preparation of a Resettlement Policy Framework
Including an Income Restoration Plan
For
Greater Addo Elephant National Park

1. Objective

To prepare a Resettlement Policy Framework (RPF) in accordance with World Bank guidelines as set out in their OP 4.12 (January 2002). The document must be complete by mid June 2002. The purpose of the framework is to clarify resettlement principles, organisational arrangements and design criteria to be applied to the project during its implementation. The RPF would also include income restoration information and planning for the priority affected farms.

2. Task Outline

The following tasks would be required to generate the RPF.

Review of documentation and project outline

A broad overview of resettlement issues and suggested policies has been prepared as part of the strategic environmental assessment (see Specialist Report No 7; Resettlement Framework: Strategic Environmental Assessment of the Greater Addo Elephant National Park, CES, 2002). This report is constrained by the fact that the "boundaries of the proposed GAENP have not yet been determined and secondly such demographic data was not available at the time of writing" (Specialist Report No 7 pg 3).

At a project workshop on 31 January 2001 it was decided to generate a likely implementation strategy and to represent this spatially. The map that would accompany this spatial representation and the mooted implementation strategy that would accompany this would make up the framework that would inform this RPF. This map and accompanying strategy would be generated by 20 February 2002. Receipt and review of this information would launch production of RPF.

Milestone: Receipt of mapping and strategy. Responsibility = SANParks to be delivered by 22 Feb 2002.

Preparation Workshop

On receipt of the map and accompanying strategy a workshop among the principles (SANParks, World Bank and Consultants) is mooted. The workshop would examine the project description and agree the details of the RFP preparation and accompanying fieldwork. The workshop would also discuss the principles mooted in Specialist Report No. 7 and discuss their suitability to the RFP.

Milestone: Workshop. Responsibility for co-ordination = consultant. Date suggested February 26 2002.

Data Collection

Data collection would be required to firm up on key aspects as required by the RPF. In particular these would be:

- (a) Negotiation of access. This is critical, as the study would now require fairly detailed socio-economic information from a base line survey. Access to the farms would have to be negotiated through the relevant channels.
- (b) Collection and review of demographic data. This would come out of the available census data (as adjusted). Data would be acquired at EA level and married to GIS where possible. Demographic data would be cross-checked with more recent analysis where this is available. Potential sources of the information would be local governments Integrated Development Plans (IDP) and the Demarcation Board database.
- (c) Farm interviews. For the purposes of working up resettlement costs and in terms of generating data it is proposed, that the priority farms for incorporation be visited. Interviews of farmers and labourers to generate a profile of the number of people affected and assets to be acquired would accompany visits to these farms.
- (d) Arising out of the farm interviews would be an assessment of incomes and livelihoods. This would be critical to generating the income restoration plans for the resettlement document.
- (e) Legal review. In particular the implications of the Extension of Security of Tenure Act needs to be examined in terms of national government delineation of responsibility for resettlement of farm workers.

- (f) Collection of national and provincial government information of “valuation of resources”. In particular the Department of Agriculture and Public Works current list of valuation and valuation procedures would need to be acquired. This is critical for costing.
- (g) Consultative mechanisms. An overview of existing stakeholders and their representatives needs to be generated. This would serve to inform the consultative approach.
- (h) Information should be acquired on people who have been affected by acquisition of farms post 1997 to date.
- (i) Detailed data base of all visits to be compiled for future reference and GIS map to be produced.

Milestone: Collection of all relevant data. Responsibility = Consultant. Date for completion = 1 May 2002.

Review Workshop

A Review Workshop among the principles (SANParks, World Bank and Consultants) is mooted. The workshop would review the document as prepared and deliberate upon its contents and give comment.

Milestone: Workshop. Responsibility = Consultant. Date for completion = 2 May

Report writing

The report would be writing in accordance with the format suggested in the table of contents below. It should be noted that the report would constitute a RFP but would be amended to incorporate income restoration plans for priority farms. It would also include recommendations as to how the resettlement actions plans that might need to follow the RFP should be set out.

Milestone: Writing up of all relevant data. Responsibility = Consultant. Date for completion = 31 May.

Production of final report

The report would be updated after the workshop for submission to the client and World Bank.

Milestone: Final Report. Responsibility = Consultant. Date for completion = 15 June.

3. Proposed Table of Contents of the RPF

A table of contents is proposed below.

1. Project Description
 2. Guiding Principles for Resettlement
 3. Process for developing subsequent Resettlement Plans
 4. Overview of potentially affected population
 - a. Numbers of people potentially affected
 - b. Demographic and socio-economic profile.
 - c. Income sources and livelihood practices on priority farms
 5. Eligibility Criteria.
 - a. People to be affected
 - b. Redress, if required for people already affected.
 6. Legal Framework
 - a. South African Legal Requirements
 - b. World Bank Policies and Procedures
 7. Methodology for valuation of assets and potential loss of all other income opportunities and assets
 8. Alternative income generating opportunities
 9. Income restoration plans for priority farms as identified
 10. Organizational responsibility for delivery
 11. Implementation process
 12. Grievance Redress mechanisms
 13. Funding and costs for resettlement
 14. Consultative Mechanisms
 15. Monitoring of resettlement
 16. Potential challenges and management risks
 17. Outline for a Social Development Plan
 18. Conclusion
- Appendix 1. Record of all surveys undertaken and data sheets

4. Proposed Timeline

ID	Task Name	Duration	Start	Finish	February	March	April	May	June
					Feb	Mar	Apr	May	Jun
1	Review of Documentation	0 days	Fri 2/22/02	Fri 2/22/02	◆ 2/22				
2	Preperation Workshop	1 day	Fri 3/1/02	Fri 3/1/02		▣			
3	Negotiation of access	5 days	Mon 3/4/02	Fri 3/8/02		▣			
4	Data Collection	48 days?	Mon 3/11/02	Wed 5/15/02		▬			
5	Review Workshop	1 day?	Thu 5/2/02	Thu 5/2/02				▣	
6	Report Writing	55 days?	Mon 3/18/02	Fri 5/31/02		▬			
7	Client/Bank Review	5 days	Mon 6/3/02	Fri 6/7/02					▣
8	Final Report	6 days	Mon 6/10/02	Mon 6/17/02					▣